證券交收指示表格

Securities Settlement Instruction Form

建銀國際證券有限公司

香港中環干諾道中 3 號中國建設銀行大廈 12 樓

客戶服務部: (852) 3911 8221

傳真號碼: (852) 2525 1198

CCB International Securities Limited

12/F, CCB Tower, 3 Connaught Road Central

Central, Hong Kong

Customer Services Hotline: (852) 3911 8221

Fax Number: (852) 2525 1198

戶口號碼 Account Number : _____

戶口名稱 Account Name : _____

茲授權貴公司依照本人/吾等作出以下經中央結算系統之交收指示。如提取股票，請於交收當日從本人/吾等上述之戶口扣除有關費用。

本人/吾等確認上述股票受益人身份並沒有因進行以下股票交收指示而改變。

This is to authorize your Company to act in accordance with my/our following settlement instruction through CCASS. For stock delivery, please debit the Relevant Fee from the captioned account on settlement date. **I/ We confirm that there is no change of beneficial ownership (NCBO) involved in this transfer.**

交收類型 Type of transaction: ☐ **提取 Deliver to** ☐ **存入 Receive from**

中央結算投資者戶口 / USI 賬戶 / 對手方名稱
Name of CCASS Investor Account / USI Profile / Counterparty: _____

☐ **I.S.I. 投資者交收指示**

中央結算投資者戶口編號 CCASS Investor Account No.: _____

☐ **USI 轉讓指示 USI Transfer Instruction**

核准證券登記機構 USI 持有人編號 ASR USI Holder No.: _____

☐ **S.I. 股票交收指示**

參與者代號 Participant ID No. (e.g. CCASS / DTC): _____

聯絡人 Contact Person: _____ 聯絡電話 Contact Number: _____

聯絡電郵 Contact Email: _____ 於對手方的賬戶號碼 Account No. with Counterparty: _____

交收方式 Settlement Method: ☐ **無須付款 FOP** ☐ **貨銀兩訖 DVP (不適用於 USI 轉讓 Not applicable to USI Transfer)**

交收日期 Settlement Date: _____

股票號碼 Stock Code	**股票名稱 Stock Name**	**數量 Quantity**	**貨幣 Currency (USD/HKD/RMB)**	**貨銀兩訖銀碼 DVP Amount**	*For Internal Use Only* *SI Fee (HKD)*

備註 Remarks: 如欲即日交收，此指示務必於當日中午十二時前交付至交收部。 For same day settlement this form has to be reached our Settlement Department before 12:00 noon on the settlement day.

客戶簽署 (公司蓋章)
Client's Authorized Signature(s): _____ S.V.
(With co. chop)

日期
Date: _____

客戶經理簽署
Account Management Officer's Signature(s): _____

日期
Date: _____

For Internal Use Only

CCASS

_____ _____ _____

Input By Approved By Date