

以下是**英屬處女島註冊公司**在建银国际证券公司开设期貨期權账户所需要的文件，请您参考。

- 1、期貨期權开立档原件（请参见附件）
- 2、董事会决议原件、授权人名单，签字样本及其身份证（请参见附件董事會決議）
注: 决议内容需要注明贵公司通过在建银国际证券有限公司开设账户，及列明负责处理开户(1)、投资命令、日常操作及交收人员的名单
- 3、经见证的副本
 - 公司注册证明（Certificate of Incorporation）
 - 公司备忘录及公司章程（Memorandum of Association and Articles of Association）
 - 公司董事名单及公司股东结构(Register of directors, Register of shareholders AND Beneficial owners)
 - 董事在職證明 (Certificate of Incumbency)
- 4、最少两位董事、各位股东及受益人的如下档— 经见证的副本：
 - 1) 个人：护照/身份证
 - 2) 公司：营业执照和注册证明或其它等同文件
- 5、近三个月以内的公司地址证明 及 三个月以内的拥有百份之十的股东的住宅地址证明；
- 6、公司近两年的经审计的财务报表和近两个月的资产负债表
(需证明流动资产 800 万港币以上成為专业投资);
- 7、专业投资者问卷（请参见附件 PI Questionnaire）-Not required for PI CAT A client
- 8、W-8BEN-E form- <http://www.irs.gov/pub/irs-pdf/fw8bene.pdf> OR
W-8IMY form - <http://www.irs.gov/uac/Form-W-8IMY,-Certificate-of-Foreign-Intermediary,-Foreign-Flow-Through-Entity,-or-Certain-U.S.-Branches-for-United-States-Tax-Withholding>

The following items are required for **BVI incorporated company** (High risk) account opening.

1. Futures Trading Account Application Form
2. Board minutes / Authorization document for account opening
3. Certified true copy of the
 - Certificate of incorporation
 - Memorandum of Association AND Articles of Association (or other constitutional documents)
 - Register of directors, Register of shareholders AND Beneficial owners
 - Certificate of Incumbency (valid within 6 months)
4. Shareholders AND Beneficial owners – certified true copies passport / HKID copy
5. For each or at least two directors – certified true copies passport / HKID copy
6. List of authorized signatories with specimen signature and certified true copies of the Hong Kong ID cards or Passport
7. List of authorized persons placing orders with specimen (and certified true copy of the Hong Kong ID cards or Passport if client is not licensed)
8. Certified true copies of the company address proof, AND residential address proofs for ultimate beneficiaries who owned 10% or above (valid within 3 months)
9. Latest audited financial statements / asset proof (to show at least HKD 8 million net worth within 3 months) if client want to be PI (if any)
10. Professional Investor Questionnaire (Not required for PI CAT A client)
11. Client Identity Disclosure Undertaking
12. Privacy Policy Form

Please be reminded that, all the certified true documents should be done by Practicing Lawyer or CPA (Practicing) or Chartered Company Secretary or Notaries Public.

Please note that the information in the reply slip is required to be confirmed by a practicing certified public accountant / practicing lawyer / member of the Hong Kong Institute of Chartered Secretaries (HKICS) / notary public in a Financial Action Task Force (FATF) member or comparable jurisdiction acceptable to CCB International Securities Limited.