

## CCBI Voting Instruction for Asiamoney 2018

1. Visit: <https://surveys.euromoney.com/s3/Asiamoney-Brokers-Poll-2018>
2. Click **Next** on first page
3. Check **I agree** and then click **Next**

### Asiamoney Brokers Poll 2018

#### GDPR


##### Protecting your data

This survey is conducted by Euromoney Data. Your participation is voluntary, and refusal to participate will involve no penalty or loss of benefits to which you are otherwise entitled. You may discontinue participation at any time.

Your personal data and the information you provide will be confidential, and collected, transferred and/or stored in compliance with Euromoney Data's survey privacy statement and disclaimer.

Respondents who participate in the survey may be contacted by Euromoney Data: for validation purposes, with survey results and reports, with invitations to participate in the next survey or related surveys.



To agree to the above terms and conditions and proceed with the survey tick below: \*  
\*(throughout the entire survey, the \* indicates that this question is required)

I agree 


4. Complete the contact and the company details (Job title is not required) then click **Next**.


#### Contact Details & Company Details


The following information is required to validate your vote only. See below for information on how we protect your details.


First name: \*   Last name: \*  


Job title:

Job title/ professional area: \*  Professional email address: \*  


Please re-enter Professional email address: \*  

Company/Fund: \*  


Your office location: \*  

Which of the following best describes your firm's/ division's activities? \* 


Asset/Mutual fund management     Private equity     Pension fund  
 Hedge fund     Proprietary trading     Sovereign wealth  
 Insurance company     Wealth management/ Private bank     Other - Write In (Required)

Your firm's focus: (please select one) \* 

Regional     Local/Domestic

Your firm's total unleveraged Asian equity funds under management - this should be Asian dedicated funds NOT your firm's global asset size (please select approximate asset size): \*  

Your firm's total annual equity trading volume in Asia Pacific: This should be Asian-dedicated trading volume NOT your firm's global trading volume (please select region/ country and approximate asset size):



5. Check **China (A&B share), China (H-shares, Red Chips, P-shares), and Hong Kong (Local, non China)**, and check **All** in the next question then click **Next**  
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Please select which of the following geographies you wish to complete the survey for:

- |   |  |                                    |
|---|--|------------------------------------|
| <input type="checkbox"/> Pan-Asia (regional, ex Australia/Japan)          | <input type="checkbox"/> Indonesia       | <input type="checkbox"/> Singapore |
| <input type="checkbox"/> Australia  | <input type="checkbox"/> Japan           | <input type="checkbox"/> Taiwan    |
| <input checked="" type="checkbox"/> China (A & B shares)                  | <input type="checkbox"/> Korea           | <input type="checkbox"/> Thailand  |
| <input checked="" type="checkbox"/> China (H-shares, Red chips, P-shares) | <input type="checkbox"/> Malaysia        | <input type="checkbox"/> Vietnam   |
| <input checked="" type="checkbox"/> Hong Kong (Local, non China)          | <input type="checkbox"/> Pakistan        |                                    |
| <input type="checkbox"/> India  | <input type="checkbox"/> The Philippines |                                    |

Which of the following sectors do you invest in?  
(you will be asked to nominate the best analyst of each of the selected sectors)

- |   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> All           | <input type="checkbox"/> Energy                                   | <input type="checkbox"/> Small Caps                      |
| <input type="checkbox"/> Automobiles & Components | <input type="checkbox"/> Health Care                              | <input type="checkbox"/> Software, Internet & Services   |
| <input type="checkbox"/> Banks                    | <input type="checkbox"/> Industrials                              | <input type="checkbox"/> Technology Hardware & Equipment |
| <input type="checkbox"/> Casinos & Gaming         | <input type="checkbox"/> Insurance                                | <input type="checkbox"/> Telecommunications              |
| <input type="checkbox"/> Consumer Discretionary   | <input type="checkbox"/> Materials                                | <input type="checkbox"/> Transportation                  |
| <input type="checkbox"/> Consumer Staples         | <input type="checkbox"/> Real Estate                              | <input type="checkbox"/> Utilities                       |
| <input type="checkbox"/> Diversified Financials   | <input type="checkbox"/> Semiconductors & Semiconductor Equipment |  |

6. In the **China (A&B-share)** section, please select CCBI for all the questions.  
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**China (A & B shares)**

Which are the best LOCAL brokerages?

- 1st
- 2nd
- 3rd

Across both research & sales, which brokerage has improved the most over the last 12 months?

- 1st
- 2nd
- 3rd

**CHINA - EQUITY SALES**

Which brokerages provide the best overall sales service? (i.e. good communication & trust worthy)

- 1st
- 2nd
- 3rd

Who are the best SALES PEOPLE? (i.e. most knowledgeable in market mechanics & resourceful)

- 1st
- 2nd
- 3rd
- Please select 3 CCBI Sales People

Who are the best country SALES TRADERS?

- 1st
- 2nd
- 3rd
- Please select 3 CCBI Sales People

Which brokerages provide the best execution? (i.e. timeliness w

- 1st
- 2nd
- 3rd

**CHINA - CORPORATE ACCESS**

Which brokerages are the best for conferences?

- 1st
- 2nd
- 3rd

Which brokerages are the best for roadshows and company visi

- 1st
- 2nd
- 3rd

**CHINA - EQUITY RESEARCH**

Which brokerages offer the best overall country research?

- 1st
- 2nd
- 3rd

Which is the most independent research brokerage?

-

7. Under the **Country Research Section - China (A&B Shares)**, please choose CCBI analysts for all the questions. click **Next**

**Country Research Section - CHINA (A & B shares)**

Who are the best STRATEGISTS?

1st

2nd

3rd

Who are the best ECONOMISTS?

1st

2nd

3rd

Who are the best analyst for SMALL CAPS?

1st

2nd

3rd

Who are the best analysts for AUTOMOBILES & COMPONENTS?

1st

2nd

3rd

Who are the best analysts for BANKS? (commercial bank, thrifts & mortgage finan

1st

2nd

3rd

Who are the best analysts for CASINOS & GAMING?

1st

2nd

3rd

Who are the best analysts for CONSUMER DISCRETIONARY? (automobiles & cor

1st

2nd

3rd

Who are the best analysts for CONSUMER STAPLES? (food & staples retailing, fo

1st

2nd

3rd

Who are the best analysts for DIVERSIFIED FINANCIALS?

1st

2nd

3rd

Who are the best analysts for ENERGY? (energy equipment & services; oil, gas &

1st

2nd

3rd

Who are the best analysts for HEALTHCARE?

1st

2nd

3rd

Who are the best analysts for INDUSTRIALS? (capital goods, conglomerates)

1st

2nd

3rd

Who are the best analysts for INSURANCE?

1st

2nd

3rd

Who are the best analysts for MATERIALS? (chemicals; construction materials; contain

1st

2nd

3rd

Who are the best analysts for REAL ESTATE? (real estate investment trusts (REITs); rea

1st

2nd

3rd

Who are the best analysts for SEMICONDUCTORS & SEMICONDUCTOR EQUIPMENT?

1st

2nd

3rd

Who are the best analysts for SOFTWARE & INTERNET SERVICES?

1st

2nd

3rd

Who are the best analysts for TECHNOLOGY HARDWARE & EQUIPMENT? (communicat  
office electronics)

1st

2nd

3rd

Who are the best analysts for TELECOMMUNICATION SERVICES? (diversified telecomm

1st

2nd

3rd

Who are the best analysts for TRANSPORTATION?

1st

2nd

3rd

Who are the best analysts for UTILITIES? (electric utilities; gas utilities; multi-utilities; w

1st

2nd

3rd

8. Repeat the last 2 steps for both the **China (H-shares, Red Chips, P-shares)**, and the **Hong Kong (Local, non China)** market.

9. Click **Submit** and you are DONE! Thank you for your support to CCBI!

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To submit your responses, please click "submit" below. To review your responses before submitting, please click the "back" button.