

以下是**持牌机构**在建银国际证券公司开设期貨期權账户所需要的文件，请您参考。

- 1、期貨期权开户表格及客户协议（请参见附件）
- 2、董事会决议原件、授权人名单，签字样本及其身份证（请参见附件董事會決議）
注: 决议内容需要注明贵公司通过在建银国际证券有限公司开设账户，及列明负责处理开户(1)、投资命令、日常操作及交收人员的名单
- 3、公司注册证明 — 经见证的副本（Certificate of Incorporation – Certified true copy）
- 4、商业登记证— 经见证的副本（Business Registration Certificate – Certified true copy）
- 5、公司备忘录及公司章程 — 经见证的副本（ Memorandum of Association and Articles of Association – Certified true copy）
- 6、最近的周年申报表 — 经见证的副本（Annual Return – Certified true copy）
- 7、最少两位董事、各位股东及受益人的如下档— 经见证的副本：
 - 1) 个人：护照/身份证
 - 2) 公司：营业执照和注册证明或其它等同文件
- 8、公司股东结构 及董事名单
- 9、近三个月以内的公司地址证明 及三个月以内的拥有百份之二十五的股东的住宅地址证明；
- 10、公司近两年的经审计的财务报表和近两个月的资产负债表；

注: 所有经见证副本需由以下人士核实-

(详情可参见 CCBI Prevention of Money Laundering Policy - Section 5.8)

1. 香港证监会持牌的建银国际雇员
2. 在香港执业的律师
3. 在香港执业的会计师
4. 在香港执业的香港特许秘书公会的当前会员

The following items are required for HK licensed corporations account opening.

1. Futures Trading Account Application Form
2. Board minutes / Authorization document for account opening
3. Certified true copy of the
 - Certificate of incorporation
 - Memorandum of Association AND Articles of Association (or other constitutional documents)
 - Business Registration
 - Latest Annual Return (i.e. AR1)
4. List of authorized signatories with specimen signature and certified true copy of the Hong Kong ID cards or Passport
5. List of authorized persons placing orders with specimen (and certified true copy of the Hong Kong ID cards or Passport if client is not licensed)
6. Certified true copies of the residential address proofs for ultimate beneficiaries who owned 25% or above
7. Latest audited financial statements / asset proof (to show at least HKD 8 million net worth within 3 months)
8. Professional Investor Questionnaire (Not required for PI CAT A client)

Please be reminded that, all the certified true documents should be done by Practicing Lawyer or CPA (Practicing) or Chartered Company Secretary or Notaries Public.

Please note that the information in the reply slip is required to be confirmed by a practicing certified public accountant / practicing lawyer / member of the Hong Kong Institute of Chartered Secretaries (HKICS) / notary public in a Financial Action Task Force (FATF) member or comparable jurisdiction acceptable to CCB International Securities Limited.