

Dear Sir/ Madam,

Privacy Policy

As a prestigious client or counterparty of ours, we treasure our relationship with you and we strive to provide you with the best service to help you achieve your investment and financing goals. Subject to the applicable laws and regulations, CCB International (Holdings) Limited or its subsidiaries which carry on business in Hong Kong (collectively, “we”, “us” or the “CCBI Group”) may process your personal data in the process of providing you with products and services in relation to asset management, research, investment banking, financing solution, M&A advisory, trading and brokerage, underwriting, investment management services, investment advisory services, custodial services, investment products and other financial products and services (collectively, “**Products and Services**”) or conducting our direct investment business or due diligence, and provide you with information relating to these Products and Services personally through various communication channels. Equally, we value in every way your right to privacy and understand the importance of keeping your personal data confidential. These are commitments which we do not take lightly. We would like to set forth as attached CCBI Group’s privacy policy (the “**Privacy Policy**”):

- (a) where you are our new client or counterparty, the Privacy Policy will apply with respect to the processing of personal information; or
- (b) where you are our existing client or counterparty, the Privacy Policy will replace our existing privacy policy with respect to the processing of personal data. Unless written notice of objection is received from you within (14) fourteen business days after dispatch of this letter, the Privacy Policy will take effect accordingly after the end of the aforesaid period.

In order to keep you updated and informed of CCBI Group’s offering of Products and Services or our business, we would like to process your personal data (including for direct marketing purposes) as set out in the Privacy Policy. However, we cannot process your personal data without your consent. Therefore, if you consent to the processing of your personal data as set out in the Privacy Policy, please tick the opt-in box in the reply slip appearing at the end of this letter before signing.

Please complete and return the reply slip to us either by mail or facsimile, and for the attention of CCB International Securities Limited.

This letter is prepared in both English and Chinese and in the event of inconsistency, the English text of this letter shall prevail.

Yours faithfully,

CCB International Securities Limited

This is a computer printout. No signature is required.

REPLY SLIP

To: CCB International Securities Limited

Address: 12/F, CCB Tower,
3 Connaught Road
Central,
Central, Hong Kong

**Acknowledgement and Consent for Processing of Personal Data
(including for Direct Marketing)**

Fax no.: 852-2525 1198

I _____ refer to your letter (the
“Letter”).

(Full name)

Personal data processing, cross-border transfer and sharing

- I have **received, understood and accepted** the Privacy Policy of CCBI Group as attached to the Letter and fully understand and agree to the processing (other than for direct marketing purposes) of personal data (including sensitive personal data) as set out in the Privacy Policy;
- I agree to the transfer of personal data outside of where I live (if applicable) as set out in the Privacy Policy; and
- I agree to the sharing of personal data with third parties as set out in the Privacy Policy.
- Where data or information relating to any third party is provided to or processed by CCBI Group or its affiliates in the course of my business dealings with CCBI Group, I undertake and represent that I have obtained the relevant consent of such third party to enable CCBI Group to process such data or information (other than for direct marketing purposes) in accordance with the Privacy Policy and I agree that I will promptly provide evidence of such consents as and when requested by CCBI Group.

Please note that you have the right not to provide your personal data. However, failure to do so means we may not be able to provide the Products and Services or carry out our relevant business.

Direct marketing

- I **wish** for CCB International Securities Limited to use my personal data in direct marketing (as described in the Privacy Policy) and/or provide my personal data to other persons for their use in direct marketing (as described in the Privacy Policy).

If I consent to the processing, use and/or transfer of my personal data for use in direct marketing, I understand the following:

- (a) where CCBI Group accedes to my request by providing or making available to me materials and information on various opportunities, Products and Services, I understand that CCBI Group may contact me through various means (including telephone calls, text messages, faxes, emails, or other electronic means); and
- (b) where data or information relating to any third party is provided to or held by CCBI Group or its affiliates in the course of my business dealings with CCBI Group, I undertake and represent that I have obtained the relevant consent of such third party to enable CCBI Group to use such data or information for direct marketing purposes in accordance with the Privacy Policy and I agree that I will promptly provide evidence of such consents as and when requested by CCBI Group.

Please note that if you do tick the box above, this means that you consent to the use of your personal data for direct marketing (as described in the Privacy Policy). Hence, please study this form carefully before you sign.

I understand that the above choice applies to the direct marketing of the Products and Services as set out in the Privacy Policy. Please refer to the Privacy Policy which sets out the types of personal data which may be used in direct marketing and the classes of other persons to which my personal data may be provided for use in direct marketing.

Signature

By signing below, I have indicated my choice with regards to the following:

- (a) The processing and/or cross-border transfer and/or sharing of my personal data (if applicable) (other than for direct marketing purposes);
- (b) The use, processing and/or transfer of my personal data in direct marketing in the manner described above;
- (c) Where I have consented to the use, processing and/or transfer of my personal data in direct marketing in (a) above, my choice with regards to:
 - (i) the receipt of materials and personal information as described above; and
 - (ii) being contacted by CCBI Group in the manner and for the purposes set out above.

This replaces any choice previously communicated by me to CCB International Securities Limited (including but not limited to any choice communicated in any CCB International Securities Limited account opening forms, if any).

Signature of client or counterparty (with chop where applicable): _____

Date: _____

Account no.: _____